

**Annual Member Survey
Denver Chapter of the IIA**

Dear Fellow Member:

We need your help in planning our activities and programs for the coming year. PLEASE complete and return this survey by **March 8th**.

One survey will be selected from all completed surveys and the member who completed the survey will win a \$100 American Express Gift Certificate.

YOUR timely response to this survey will make it possible to schedule quality programs and seminars of interest to the chapter.

Please Return Completed Forms:

**Mail to:
Brad Walcher
c/o Nelnet
3015 S. Parker Road, Suite 400
Aurora Colorado, 80014**

Or Return via E-Mail:
<mailto:brad.walcher@nelnet.net>

Or Bring to the March 8th Breakfast Meeting

Name:

Organization:

Title:

Certifications:

Addresses:

Home:

Business:

E-Mail:

Phone Numbers

Home:

Business:

FAX:

Preferred Address (circle one): Business Home

1. Would you be interested in participating in a chapter sponsored CIA review course?
 Yes _____ No _____
 As an instructor _____ As a student _____

2. How many of the auditors in your company are
 IIA Members _____ Non members _____

3. Are you reimbursed for annual dues?
 Yes _____ No _____ Partial - _____

4. Are you reimbursed for meeting expenses?
 Yes _____ No _____

5. Please provide the name and phone number of the local Audit Director or Manager for your organization.

6. Please indicate any individual you would recommend for membership in the chapter or a company that the membership committee could contact for potential members.

7. Are you interested in having a joint meeting with other professional groups or chapters in the coming year?
 Yes _____ No _____
 If yes, please specify group/chapter: _____

8. Would you participate in a meeting or seminar as a:
 Moderator _____?
 Speaker _____?
 Panelist _____?
 List topics you can best participate in: _____

9. What speakers have you heard at other meetings or conferences recently that have impressed you the most? How can we contact them? Please specify the speaker's topic.

10. What meeting times are most convenient for you?
 Breakfast Meetings _____ Luncheon Meetings _____ Dinner Meetings _____

11. I would prefer:
 A meeting and meal combined _____ Meeting only _____

12. What meeting dates are most convenient for you?
 I am satisfied with the second Tuesday of the month _____
 I prefer another day of the month _____ & that date is _____

13. I would prefer that the meeting be held:
 Downtown Denver _____ North _____
 Denver Tech Center _____ Current Location (Four Points Cherry Creek) _____
 Other (please specify) _____

14. Were you satisfied with the meals provided by the Four Points Cherry Creek?
 Yes _____ No _____ I would prefer _____
-
15. The Chapter holds bi-monthly meetings with 2 hours of CPE. In your opinion:
 This works well for me _____ I would prefer monthly meetings _____
 I would prefer an evening meeting _____
16. How many regular chapter meetings did you attend this year? _____
 On those occasions when you did not attend the regular chapter meetings, what was the reason for not attending?
 Out of town _____ Inconvenient meeting location _____
 Weather _____ Inconvenient meeting time _____
 Not interested in the speaker _____ Conflict with other activities _____
 Other (specify) _____
17. What suggestions do you have for improvements of The Institute of Internal Auditors, Inc. which you would like to pass on?
18. Were you able to participate in any chapter committee activities this year?
 Yes _____ No _____
 Did you assist in chapter activities in any way?
 Yes _____ No _____
 If not, what has prevented you from participating?
19. Did you bring any new members this year?
 Yes _____ No _____
 Any guests? Yes _____ No _____
 Were you satisfied with the way the Chapter welcomed them? Yes _____
 No _____ If not, what suggestions would you make for improvement?
 Would you be willing to participate? Yes _____ No _____
20. Overall, you
 Are unable to be an active participant in Chapter activities and are satisfied with a more passive role

 Would like to become involved but are not sure how _____
 Are actively involved in Chapter activities _____
 Would you be interested in being an officer in the future? Yes _____ No _____
 Which office would you be interested in? _____
21. Would you attend a fundraiser for the Denver Chapter? Yes _____ No. _____
 What type of fundraiser should the chapter hold? _____

22. Please circle which of the following topics are of interest to you for chapter meetings and/or seminars:

Communication Skills

- Negotiation Skills for Auditors
- Professional Polish & Business Etiquette
- Making an Impact
- Establishing Credibility
- Interpersonal Skills and Interviewing
- Effective Report Writing
- Effective Presentations to Groups and Senior Management

Business Process

- Understanding Improvement Metrics, Measurements, & Indicators
- Evaluating Improvement Objectives & Success Factors
- Evaluating the Efficiency & Effectiveness of the Improvement Process

Control Self Assessment

- Effective Planning
- Successful Facilitating
- Implementing Action Plans
- Introduction to CSA

Fraud

- Financial Transactions & Fraud Schemes
- Conducting Internal Investigations
- Legal Issues & Documentation
- Fraud Detection & Investigation for Internal Auditors
- Fraud Challenges in Purchasing, Contracting & Marketing

Federal Sentencing Guidelines

- Role of Internal Audit
- Relationship to COSO
- Business Considerations

Best Practices

- Evaluating Your Internal Audit Department
- Non-traditional Auditing
- Using the IIA to Benchmark Your Department

Business Continuity Planning

- Crisis Event Response
- Alternative Business Site Processing
- Internal Audit's Role
- Business Impact Analysis

Contract Auditing

- Types of Contracts
- Contracting Controls & Performance Measurements
- Legal Issues
- Audit Process

Cash Flow Analysis

- Leveraging Income to Maximize Profits
- Maximizing Banking Relationships
- Cash Flow Planning

COSO, Sarbanes Oxley

- Internal Audit's Role
- Coordinating with External Auditors
- Reporting
- The Comprehensive Nature of Internal Control

Mergers & Acquisitions

- Auditors Role in Due Diligence
- Evaluating Integration Plans
- Measuring Financial Impact of a Merger

Risk Analysis

- Risk Assessment Process
- Risk Analysis Models
- Risk Based Audit Plans

Pro-Active Auditing

- Teaming with Management
- Consultants Role for the Internal Auditor
- Advisory Role in Process Development

Leadership Topics

- Qualities in Effective Auditors
- Leadership Styles
- Leader's Role as Motivator
- Empowering the Team
- Leadership skills for Auditors

General Topics:

- Professional Standards Update
- Consulting Activities, Skills, Attitudes
- World –Class Practices
- Audit Committees & Governance
- Analytical Reviews using Audit Software
- Impact of Analytics on Internal Controls

Specialized Topics:

- Auditing Health Benefits
- Auditing Derivative Investments
- Auditing Investment Activities
- Auditing for Accounts Payable Recoveries
- Fundamental Accounting Controls

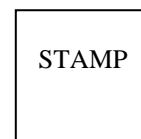
Beginner's Topics:

- Auditing 101 or Welcome to Internal Audit
- Operational Auditing

Other Suggestions:

24. The success of the chapter depends upon the active participation of each of its members. We would like the opportunity to get to know and learn more about you so that we can be of greater service. Our committees benefit from the fresh ideas of new members. Please identify any committees in which you would like to be involved and write or type your name into the Participants area.

I Am Interested In Participating	Committee	Description	2005/2006 Participants
	Academic Relations / Speakers Bureau	Establish working relations with area universities. Coordinate activities & chapter support for student chapter. Arrange student nights. Make presentations to other organizations to acquaint them with the activities & goals of the IIA.	
	Audit	Audits chapter records & submits an annual audit report.	
	Chapter Directory	Publishes the chapter directory.	
	CIA Program	Performs administrative functions required for the exam including selecting a site & identifying proctors. Organizes the CIA Review Course.	
	Editorial/Newsletter	Supplies The Institute headquarters with material for Institute publications. Encourages submission of articles for the chapter newsletter. Prepares & mails monthly newsletter.	
	Employment	Coordinate the referral of member resumes to companies looking for internal auditors.	
	Hospitality	Help new members get acquainted and send welcome information. Contact prospective members & provide information regarding chapter. Maintain name tags. Notify meeting facility of attendance. At the meeting, work with facility personnel to ensure the facility & refreshments are as requested.	
	International	Participate on committees at the IIA International level designed to provide support for the Internal Audit Profession.	
	Membership	Maintains the member database. Mails out monthly E-Newsletter	
	Programs / Seminars	Develops a well-rounded program of speakers on subjects that will appeal to members. Coordinates meetings & meets speakers. Arranges for meeting facilities.	
	Roundtables – Director’s & Staff	Organize and sponsor roundtable discussions and programs.	
	Website	Promotes the education of & helps expand knowledge & skills in the interrelated fields of auditing & electronic data processing.	



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